

financial service agreement

You would like to retain the services of Rise Financial Pty Ltd for the provision of a **Financial Service** for an agreed fee as advised below:

- Costs advised may increase where Rise Financial Pty Ltd deems a situation more complicated or carries greater advice risk.

□ Step 1 - Financial Check Up / Consultation / Salary Packaging Advice **Cost \$ 330 (inc. GST)**

- No written report is provided for a Financial Check Up / Consultation, and this service does not contain any specific recommendation for you, rather it is general information only, designed to help you understand your current financial situation.
- You agree you will not act upon any information provided without first seeking specific and written advice and you acknowledge that no financial strategy or financial product has been, or will be, recommended.
- A written summary is provided when considering salary packaging a fully maintained car lease.

□ Optional Extra - Financial Projection **Additional Cost \$ 165 (inc. GST)**

- An email summary is provided for 1 scenario, however this does not contain any specific strategy or product recommendations.

□ Step 2 - Strategic Financial Plan **Cost \$ 2,640 (inc. GST)**

- A written report is provided with multiple scenario projections, however this service does not contain any specific financial strategy or financial product recommendation for you, rather it is general information only, designed to help you understand the projected outcome when making various financial choices, based upon assumptions we believe reasonable.
- You acknowledge that no financial strategy or financial product has been, or will be, recommended.

□ Step 3 - Statement Of Advice **Cost \$ 1,650 (inc. GST)**

- A written report is provided with your chosen strategic financial direction, including specific financial strategy and financial product recommendations where required.
- Financial products will be implemented at this stage at a cost of **\$330.00 per policy** for managed investment funds, superannuation funds, personal insurance policies or margin loans.

□ Step 4 - Wealth Management Service **Annual Cost \$ 3,300 (inc. GST)**

- A written annual *Statement of Advice* report is provided with ongoing financial strategy and financial product advice, and including investment management where required.
- Monthly Fee of **\$275.00** paid from _____ Investment / Super plan or _____ Periodical Payment into Rise Financial Account.
- First Payment on _____ or _____ continue ongoing fee payment arrangement under 'Annual Opt In'.

Please be aware of the following before joining our Wealth Management Service:

- You understand should you join our *Wealth Management Service* you are required to remain on this service for at least 1 year, and while fees may change in the future, any fee change will be advised at an annual review and apply from that date.
- You understand should you cease our ongoing advice service that neither Rise Financial Pty Ltd nor your adviser will receive any form of ongoing payment to provide any ongoing service, and as such will be removed as the financial adviser on any investments, policies or loans.
- You understand that investments Rise Financial Pty Ltd recommend have "restricted adviser access". This means that should you cease our ongoing advice service that you will be able to retain your existing funds in these 'restricted' investments, however you will not be able to add additional funds to these 'restricted' investments.

Client Name: _____ Signature: _____ Date: _____

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