

financial service agreement

You would like to retain the services of Rise Financial Pty Ltd for the provision of a **Financial Service** for an agreed fee as advised below:

- Costs advised may increase where Rise Financial Pty Ltd deems a situation more complicated or carries greater advice risk.

□ Step 1 - Financial Check Up / Consultation / Salary Packaging Advice **Cost \$ 440 (inc. GST)**

- No written report is provided and this service does not contain any specific recommendation for you, rather it is general advice only, designed to help you better understand the facts of your current financial situation.
- You agree you will not act upon any information provided without first seeking specific and written advice and you acknowledge that no financial strategy or financial product has been, or will be, recommended at this step.

□ Step 2 - Strategic Financial Plan **Cost \$ 2,200 (inc. GST)**

- A written report is provided with multiple scenario projections, however this service does not contain any specific financial strategy or financial product recommendation for you, rather it is general advice only, designed to help you understand the projected outcome when making various financial choices, based upon assumptions we believe reasonable.
- You acknowledge that no financial strategy or financial product has been, or will be, recommended at this step.

□ Step 3 - Statement Of Advice **Cost \$ 2,200 (inc. GST)**

- A written report is provided with your chosen strategic financial direction, including specific financial strategy and financial product recommendations where required.

□ Step 4 - Wealth Management Service **Annual Cost \$ 4,400 (inc. GST)**

- When joining this ongoing financial advice service, any recommended financial products will be implemented for no additional cost, including managed investment funds, superannuation funds, account based pension funds or margin loans.
- A written annual *Statement of Advice* report is provided with ongoing financial strategy and financial product advice, and including ongoing investment management where required.
- This is an **annual engagement** basis which means each year we will invite you to re-engage our services for a further 12 months. You also have the ability to opt-out of receiving our services at any time.
- Our annual fee is charged in monthly instalments, in arrears, and you have chosen to pay this in the following way:
 - Directly from your Investment / Super / Pension plan _____, or by
 - Direct deposit into the Rise Financial bank account at **\$366.67** per month _____.
- First Payment due on _____ or _____ continue ongoing fee payment arrangement under 'Annual Opt In'.

Please be aware of the following before joining our Wealth Management Service:

- Fees may change in the future, but any fee change will be advised at an annual review and apply from that date.
- Should you cease our ongoing advice service, Rise Financial Pty Ltd nor your adviser will receive any form of ongoing payment to provide any ongoing service, and as such will be removed as the financial adviser on any investments, policies or loans.
- The investments Rise Financial Pty Ltd recommend have "restricted adviser access". This means that should you cease our ongoing advice service that you will be able to retain your existing funds in these 'restricted' investments, however you will not be able to add additional funds to these 'restricted' investments.

Client Name: _____ Signature: _____ Date: _____

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